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# Long-Lived Species Enhance Summertime Attribution of North American Ozone to Upwind Sources

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**Supporting Information** 

**ABSTRACT:** Ground-level ozone  $(O_3)$ , harmful to most living things, is produced from both domestic and foreign emissions of anthropogenic precursors. Previous estimates of the linkage from distant sources rely on the sensitivity approach (i.e., modeling the change of ozone concentrations that result from modifying precursor emissions) as well as the tagging approach (i.e., tracking ozone produced from specific  $O_3$ precursors emitted from one region). Here, for the first time, we tag all  $O_3$  precursors (i.e., nitrogen oxides (NO<sub>x</sub>), carbon monoxide (CO), and volatile organic compounds (VOCs))





Fully-tagged

from East Asia and explicitly track their physicochemical evolution without perturbing the nonlinear  $O_3$  chemistry. We show that, even in summer, when intercontinental influence on ozone has typically been found to be weakest, nearly 3 parts per billion by volume (ppbv) seasonal average surface  $O_3$  over North America can be attributed to East Asian anthropogenic emissions, compared with 0.7 ppbv using the sensitivity approach and 0.5 ppbv by tagging reactive nitrogen oxides. Considering the acute effects of  $O_3$  exposure, approximately 670 cardiovascular and 300 respiratory premature mortalities within North America could be attributed to East Asia. CO and longer-lived VOCs, largely overlooked in previous studies, extend the influence of regional ozone precursors emissions and, thus, greatly enhance  $O_3$  attribution to source region.

# INTRODUCTION

Ground-level ozone (O<sub>3</sub>) has adverse impacts on human health, crop yields, and ecosystems.<sup>1–5</sup> In the United States, surface concentrations are regulated by the National Ambient Air Quality Standard (NAAQS) for O<sub>3</sub> that was tightened in 2015 from 75 to 70 nmol/mol (ppbv) over an 8 h average. As of 2015, 108.2 million people lived in counties with O<sub>3</sub> levels above the new NAAQS.<sup>6</sup> In addition to U.S. anthropogenic sources that have been significantly mitigated in recent years (e.g., ~30% reduction for NO<sub>x</sub> and CO during 2000–2010),<sup>7</sup> background sources (e.g., natural or transboundary sources) contribute as much as 40–50 ppbv O<sub>3</sub> in spring and 25–40 ppbv in summer according to global model simulations.<sup>8</sup>

Ozone is a secondary pollutant, formed in the atmosphere by oxidation of volatile organic compounds (VOCs) and carbon monoxide (CO) in the presence of nitrogen oxides (NO<sub>x</sub>). Sources of background  $O_3$  include downward-mixing of stratospheric  $O_3$ ,<sup>9</sup> emissions from vegetation<sup>10</sup> and wildfires,<sup>11</sup> and long-range transport from upwind regions, particularly East Asia.<sup>12</sup> During special meteorological conditions, trans-Pacific transport could enhance springtime U.S. surface ozone by 5–15 ppbv in the west and 2–5 ppbv in the east.<sup>12,13</sup> Combined

satellite and model studies found that increased transpacific transport of O<sub>3</sub> has offset 43% of the 0.42 DU reductions in column-free tropospheric O<sub>3</sub> that could have occurred through domestic emission reductions between 2005 and 2010 over the western United States.<sup>14</sup> Emissions of O<sub>3</sub> precursors have increased dramatically in East Asia due to a booming economy in which emissions of anthropogenic nitrogen oxides (NO<sub>x</sub> = NO + NO<sub>2</sub>) increased 25% between 2005 and 2010,<sup>15</sup> carbon monoxide (CO) increased 25% between 2000 and 2010,<sup>16</sup> and nonmethane volatile organic carbons (NMVOCs) increased 29% between 2001 and 2006.<sup>15,17</sup> O<sub>3</sub> and its precursors (i.e., NO<sub>x</sub>, CO, and NMVOCs) can be transported across the Pacific to the United States, during and after which O<sub>3</sub> formation takes place.<sup>18</sup>

 $O_3$  precursor emissions and  $O_3$  concentrations also alter the climate. The change of tropospheric  $O_3$  level since the preindustrial times contributes to a radiative forcing of 0.40

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 $W/m^{2}$ .<sup>19</sup> Tropospheric O<sub>3</sub> indirectly warms the climate through oxidizing forests, thus reducing carbon sink,<sup>20</sup> and indirectly cools the climate through affecting aerosol loading, especially sulfate.<sup>21</sup>

Attributing  $O_3$  production to the source of its precursors is valuable for pollution management. Commonly used methods for quantifying the source-receptor linkages include sensitivity analysis and tagging techniques. Sensitivity analysis models the change of ozone concentrations that result from modifying precursor emissions and is widely used to demonstrate the potential air quality gains from a specific emission reduction measure.<sup>22–25</sup> For example, the Hemispheric Transport of Air Pollution (HTAP) report found that decreasing East Asian anthropogenic precursor emissions by 20% would result in North American surface O<sub>3</sub> decreasing by 0.22 ppbv.<sup>26</sup> In addition, efforts made in the U.S. Environmental Protection Agency (EPA) emission-control programs substantially reduced local O<sub>3</sub> precursors emissions and lowered the 95th percentile ozone concentrations by 1-2 ppb per year during 1998-2003 summers.<sup>27</sup> Sensitivity analysis is a solution-originated method and is a powerful tool for scenario analysis. However, sensitivity analysis perturbs the nonlinear ozone chemistry (and local climate if coupled chemistry-climate model is used) and thus has difficulties in accurately attributing the current ozone abundance to individual emission sources.

The tagging technique is designed to attribute ozone produced within one region or from specific O<sub>3</sub> precursors. It adds regionally labeled artificial tracers for various species (including  $O_3$  and  $O_3$  precursors) and tracks the unperturbing current chemical or dynamical state of the system. It is a useful tool with which to identify sources and split responsibility for the adverse consequences of air pollution but is unable to conduct scenario analysis. There are several types of O<sub>3</sub>-tagging approaches. For instance,  $O_x (O_x = O_3 + O + O(1D))$  is tagged by the region in which it is produced. Thus,  $O_x$  production due to precursors both locally emitted and transported into the region is included, yet  $O_x$  produced outside the region due to precursors originating in the region are excluded.<sup>28</sup> Alternatively, either  $NO_{\nu}$  (justified by the fact that, generally, NO<sub>x</sub>-limited conditions for O<sub>3</sub> production are prevalent in most regions of the atmosphere) or VOCs (tracking VOC precursors and resulting peroxy and hydroperoxyl radical products)<sup>33</sup> originating in a region can be tagged, along with any O<sub>3</sub> formed by the reaction of the tagged precursors, regardless of where the formation occurs.<sup>34,35</sup> In some studies, individual O<sub>3</sub> precursors are tagged, and O<sub>3</sub> production is assigned entirely to  $NO_r$  (VOCs) if it is regarded to happen in the NO<sub>x</sub>-limited (VOCs-limited) regime.<sup>3</sup>

The above-mentioned pioneer modeling works have significantly improved our understanding of the roles of specific ozone precursors in ozone pollution formulations. To attribute  $O_3$  pollution to all  $O_3$  precursors in a more-coherent way, we develop a fully-tagged mechanism and apply it to trace the trans-Pacific influence (i.e., attributing the North American (NA) surface  $O_3$  concentrations to all East Asian anthropogenic emissions (EA\_AE)). Our mechanism transports tagged tracers of  $O_3$  precursors (NO<sub>x</sub>, CO, and VOCs) from a source and their oxidation products (e.g., NO<sub>y</sub>, RO<sub>2</sub>, RO, and HO<sub>2</sub>) globally from source region to downwind receptor regions. When  $O_3$  precursors from this source interact with precursors from other sources, half of the resulting species is attributed to each source. Compared with other  $O_3$  source attribution mechanisms, this approach permits a complete and coherent accounting of the impact of one region's emissions on all regions outside the source region through complex chain radical reactions of  $O_3$  chemistry. We also conduct similar simulations using sensitivity analysis and NO<sub>y</sub>-tagging approaches and then differentiate the inherent meaning of the results from the three techniques. All simulations are performed for the year 2000 using the global atmospheric chemistry transport model, the Model for Ozone and Related Chemical Tracers (MOZART-4) (see the Materials and Methods section).

#### MATERIALS AND METHODS

Sensitivity and NO<sub>v</sub>-Tagging Methods. The sensitivity analysis is conducted with two parallel simulations with identical meteorological input: one with the standard emission inventory and one with all East Asian anthropogenic emissions (EA AE) turned off. The difference in  $O_3$  concentrations between the two simulations is taken as the contribution of EA AE. The  $NO_v$ -tagging approach is conducted with multiple artificial tagged tracers and reactions added to the MOZART-4 photochemistry mechanism, representing East Asian anthropogenic NO<sub>x</sub> emissions and their transformation within the NO<sub>v</sub> family (e.g., PAN, HNO<sub>3</sub>, and organic nitrates). The artificial tagged tracers are deposited at the same deposition rate as their corresponding real species; the tagged reactions exhibit the same reaction rate constants as the nontagged species. Descriptions of the tagged mechanism, as well as its implementation into MOZART-4 and CAM-Chem, can be found in Emmons et al.<sup>34</sup> The results from the sensitivity analysis and NO<sub>y</sub>-tagging approaches in this study are consistent with previous analyses (see Table S1).<sup>26,35</sup>

Fully-Tagged Method. The fully-tagged method adds two sets of artificial tracers to separately track all emissions and their consequent evolutions from East Asian anthropogenic sources (labeled as EA) and from other sources (labeled as ELSE). The standard MOZART-4 chemistry includes 85 gas-phase species and 196 reactions. Except for CO<sub>2</sub>, H<sub>2</sub>O, CH<sub>4</sub>, H<sub>2</sub>, N<sub>2</sub>O, O<sub>2</sub>, and N<sub>2</sub> (i.e., fixed or constant species), basically every species is assigned two additional tracers (EA and ELSE). These tagged species experience the same physical (transport and deposition) and chemical processes as the corresponding nontagged species but will not consume or generate any nontagged species. The rules for reactions of two tagged species are described by reactions 1-4). When both reactants are labeled as EA (or ELSE), all products are labeled as EA (or ELSE; see reactions 1 and 2). If one reactant is labeled as EA and the other is ELSE, we label half the amount of each product as EA and half as ELSE (see reactions 3 and 4). For unimolecular reactions (e.g., some photolysis ones), the labels of all products are identical to the reactant.

$$CH_{3}O_{2}EA + NO_{E}A \rightarrow CH_{3}O_{E}A + NO_{2}EA$$
(1)

 $CH_3O_2$ \_ELSE + NO\_ELSE  $\rightarrow$   $CH_3O_ELSE$  + NO\_2\_ELSE (2)

$$CH_{3}O_{2}EA + NO_{ELSE} \rightarrow .5CH_{3}O_{EA} + .5CH_{3}O_{ELSE}$$

+ 
$$.5NO_2$$
\_EA +  $.5NO_2$ \_ELSE (3)

$$CH_{3}O_{2}\_ELSE + NO\_EA \rightarrow .5CH_{3}O\_EA + .5CH_{3}O\_ELSE + .5NO_{2}\_EA + .5NO_{2}\_ELSE$$
(4)

Reactions 3 and 4 implement an equal contribution rule to label products. This assumption originates from the classic chemical

kinetics theories (e.g., the collision theory, the transition-state theory, etc.) that explain how chemical reactions happen.<sup>38</sup> These theories all indicate the fact that a two-body reaction simultaneously involves the participation of two reactant molecules; thus, the role of one reactant is not superior to the other.<sup>38</sup> For example, when  $CH_3O_2$  reacts with NO (see Figure 1), each reactant contributes an unpaired electron to

Figure 1. Arrow-pushing plot for the reaction between  $CH_3O_2$  and NO. The single-sided arrowheads indicate transfer of electron from one bond to another.

form a chemical bond. The breaking of old bond and formation of new bond happen simultaneously. Lacking either reactant, the reaction will not happen. Therefore, the fully-tagged method interprets that all products are equally contributed by both reactants.

With the above rules, the fully-tagged mechanism adds 156 tagged tracers and 692 tagged reactions to the standard MOZART-4 chemistry mechanism. Some examples showing how we tag species and reactions are given in Tables S2–S4. For reactions involving CH<sub>4</sub>, special treatment is used (details are given in the Supporting Information and Table S5). Results of the fully-tagged method are checked following Emmons et al.<sup>34</sup> The sum of O<sub>3</sub> from two individual sources (O<sub>3</sub>\_EA and O<sub>3</sub>\_ELSE) agrees well with the original untagged O<sub>3</sub> within 0.25% for every month in 2000 (see Figure S1).

**Model Description and Configuration.** We utilize the Model for Ozone and Related Tracers version 4 (MOZART-4) to simulate  $O_3$  production and transport within the troposphere. MOZART-4 is particularly suited for studies of tropospheric chemistry. A detailed model description and a full model evaluation can be found in Emmons et al.<sup>39</sup>

In this study, MOZART-4 is driven by NCEP–NCAR reanalysis meteorological fields with a horizontal resolution of  $1.9^{\circ} \times 1.9^{\circ}$  and a vertical resolution of 28 sigma vertical levels (Table S6) from the surface to about 2.7 hPa. The majority of anthropogenic emissions as well as all biogenic emissions are from Precursors of Ozone and Their Effects in Troposphere (POET) database,<sup>40,41</sup> except for fossil fuel and biofuel combustion emissions, which are from the Emission Database for Global Atmospheric Research (EDGAR-3). The extra forcing and NO production from lightning are included.<sup>42</sup> We simulate the 1999–2000 period and analyze results for the year 2000.

The model results have been extensively validated against several sets of global observations including the NOAA GMD (Global Monitoring Division) network, MOPITT (Measurement of Pollution in the Troposphere) CO, ozonesondes, and Moderate Resolution Imaging Spectroradiometer (MODIS) aerosol optical depth measurements, and the results show that MOZART-4 can well-reproduce tropospheric chemical composition and is suitable for tropospheric investigations on the regional to global scale.<sup>39</sup> Here, we complied additional source of observations from the Acid Deposition Monitoring Network in East Asia (EANET) and validate the model results over East Asia for the year 2000.<sup>43</sup> The model reproduces relatively well the absolute concentration and seasonal variability of tropospheric  $O_3$ , even though the model slightly overestimates

summertime surface ozone concentrations for the Yonagunijima, Ryori, Ogasawara, Sado-seki, Happo, and Oki sites, and underestimate springtime surface ozone concentrations for the Tappi, Sado-seki, Happo, Oki, and Hedo sites (Figure S2).

These discrepancies are consistent with those found in Emmons et al.<sup>39</sup> and are partially caused by the coarse model resolution.<sup>39</sup> For example, pollution plumes in global models are typically diluted and insufficiently lofted to higher altitudes where they could have undergone more efficient transport in stronger winds.<sup>44</sup> In high-resolution models, the response of  $O_3$ to emission perturbations was found to be 50% lower than in coarse-resolution models. In addition, underestimation of NO. titration due to low resolution has also been found responsible for differences in surface O3 in MOZART-4 compared with observations over China.45 Besides model resolution, uncertainties in emission inventories may also contribute to model discrepancies (global inventories, e.g., POET, are usually compiled with less details in the timing and position of different emission activities than the EPA reported U.S. inventories, which have been used to support regulatory programs<sup>46,16,47</sup>).

We apply sensitivity analysis,  $NO_y$ -tagging, and fully-tagged methods in MOZART-4 to characterize the trans-Pacific influence of East Asian anthropogenic emissions to North American surface  $O_3$ . The definition of East Asia (EA: 15–50° N and 95–160° E) and North America (NA: 15–55° N and 60–125° W) follows the definition in Fiore et al.<sup>25</sup> (see Figure S3).

**Health Impact of O<sub>3</sub>.** We quantify the number of premature mortalities (unit: deaths) over North America that is associated with surface  $O_3$  exposure attributable to EA\_AE as eq 5:

$$\Delta \text{mortality} = \text{Pop} \cdot \text{Mb} \cdot (1 - \exp^{-\beta \Delta C})$$
(5)

where Pop is population, Mb is the baseline mortality rate,  $\Delta C$  is the amount of O<sub>3</sub> concentrations related to EA\_AE by each mechanism, and  $\beta$  is concentration—response factor adopted from the time-series study, describing the acute effect of O<sub>3</sub> exposure on respiratory and cardiovascular mortality.<sup>3</sup> For all age groups in NA, a 10 ppbv increase of 24-h averaged hourly O<sub>3</sub> is associated with an increased risk of respiratory and cardiovascular mortality.<sup>3</sup> Current epidemiological studies do not reveal a clear O<sub>3</sub> concentration threshold under which no damage occurs. Previous studies have used no threshold and thresholds of 25 ppbv<sup>48</sup> and 35 ppbv.<sup>49,26</sup> Here, we conduct the O<sub>3</sub> mortality calculation, assuming no threshold and a threshold of 25 ppbv.

We apply the equation above to every model grid within NA on a monthly basis and sum them up to get annual estimation, as was done in Anenberg et al.<sup>49</sup> Baseline monthly mortality rates of respiratory and cardiovascular diseases for the year 2000 are from National Center for Health Statistics and the Centers for Disease Control and Prevention.<sup>50</sup> The global population data is obtained from the Center for International Earth Science Information Network (CIESIN).<sup>51</sup>

## RESULTS AND DISCUSSION

We find a substantially larger annual influence from East Asian anthropogenic emissions (EA\_AE) to North American surface  $O_3$  using the fully-tagged method (2.75 ppbv) than either the sensitivity analysis (1.11 ppbv) or by tagging NO<sub>y</sub> alone (1.04 ppbv) (Table S1). All three methods find maximum seasonal transport in spring (1.65, 1.62, and 3.1 ppbv for sensitivity

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approaches,  $NO_y$ -tagging, and fully-tagged methods, respectively) and relatively moderate transport in winter and fall (Figure 2). However, summertime fully-tagged transport is four



**Figure 2.** Seasonal average contribution of EA anthropogenic emissions to NA surface  $O_3$  (ppbv) in 2000 using a sensitivity analysis (i.e., response of NA surface  $O_3$  to the removal of East Asian anthropogenic emissions; brown), NO<sub>y</sub>-tagging (i.e., tagging of all reactive nitrogen species and  $O_{xi}$ ; red) and fully-tagged (i.e., tagging of  $O_x$  and all  $O_3$  precursors, including CO, VOCs, and NO<sub>x</sub>; orange) approaches. EA is defined as  $15-50^\circ$  N and  $95-160^\circ$  E. NA is defined as  $15-55^\circ$  N and  $60-125^\circ$  W.

to nearly six times larger than transport found using the sensitivity and  $NO_y$ -tagging approaches (2.9, 0.7, and 0.5 ppbv, respectively). This result implies there may be larger EA influence during individual transport events when hourly or the MAD8 metric is used (will be evaluated in detail in our follow-up studies). Correspondingly, the annual minimum season of transport becomes winter in the fully-tagged approach and no longer summer, as in the  $NO_y$ -tagging and sensitivity approaches.

In summer, the fully-tagged mechanism captures the strong interactions of East Asian anthropogenic emissions with domestic NA emissions and thus obtains the largest O<sub>3</sub> contribution within the NA boundary layer. Surface winds over the Pacific are dominated by a high-pressure system, which favors Asian outflow north of 45° N but generally blocks eastward transport over the subtropical Pacific. Even so, all mechanisms show East Asian emissions impact O<sub>3</sub> levels near the edge of tropical easterlies and over the western United States in summer (Figure 3). This occurs partially because summertime transport goes through the free troposphere followed by descent in a high-pressure system near the west coast of NA. Figure S4 shows rapid East Asian O<sub>3</sub> production over the EA continent due to active photochemistry. High levels of East Asian O<sub>3</sub> and O<sub>3</sub> precursors are then deeply convected and exported across the Pacific (Figure S4). When the plume descends and interacts with local pollutants over North America, the fully-tagged approach generates substantially more East Asian  $O_3$ , both in the boundary layer (2-3



**Figure 3.** Simulated distribution of summertime (JJA) surface ozone (ppbv) contributed by EA anthropogenic emissions obtained using a sensitivity analysis (removal of East Asian anthropogenic emissions (EA\_AE), top), NO<sub>y</sub>-tagging (tagging of EA\_AE of NO<sub>x</sub>, middle), and fully-tagged (tagging of all EA AE of O<sub>3</sub> precursors including CO, VOCs, and NO<sub>x</sub>, bottom) approaches. Arrows indicate near-surface wind velocity (m·sec<sup>-1</sup>).



**Figure 4.** Simulated key  $O_x$  production pathways, i.e., the production rate in the summer (JJA)  $NO_2$  ( $10^{-15}$ molecules/(s · cm<sup>3</sup>)) through the reaction  $RO_2 + NO \rightarrow RO + NO_2$  (left) and  $HO_2 + NO \rightarrow OH + NO_2$  (right) within the boundary layer (between surface and 800 hPa) over the Pacific and North America contributed by East Asia anthropogenic emissions, obtained using a sensitivity analysis (removal of East Asia anthropogenic emissions (EA\_AE), top),  $NO_y$ -tagging (tagging of EA\_AE of  $NO_x$ , middle), and fully-tagged (tagging of all EA\_AE of  $O_3$  precursors including CO, VOCs, and  $NO_x$ , bottom) approaches.

ppbv; Figures 3 and S4) and in the free troposphere (4-6 ppbv; Figure S4) than other mechanisms.

Although both the fully-tagged and NO<sub>v</sub>-tagging mechanisms assign East Asian O3 based on reactions of tagged East Asian  $NO_{x}$ , they determine the abundance of East Asian  $NO_{x}$  quite differently. In the NO<sub>v</sub>-tagging mechanism, the East Asian NO<sub>x</sub> is either directly emitted or recycled from other reactive nitrogen species  $(NO_y)$ . NO<sub>x</sub> itself and most reservoir species, except for PAN, typically have short lifetimes and are thus largely depleted before reaching the western United States. We thus examine the major pathways of East Asian NO, production (thus EA O<sub>3</sub> production), i.e., NO to NO<sub>2</sub> conversion by RO2 and HO2. As shown in Figure 4, the NO<sub>v</sub>-tagging method demonstrates moderate East Asian O<sub>3</sub> production over North America within the boundary layer, especially in the east, during the summer (JJA). When averaged over the entire NA, the conversion rate of the tagged NO to tagged NO<sub>2</sub> (leading to tagged O<sub>3</sub> production) is  $1.8 \times 10^{-16}$ molecules/(s·cm<sup>3</sup>) by RO<sub>2</sub> and 2.3 × 10<sup>-16</sup> molecules/(s·cm<sup>3</sup>) by HO<sub>2</sub> (Figure 4 and Table S7). Reaction amounts of other chemical pathways that are less important for O<sub>3</sub> and NO<sub>2</sub> concentrations (Figure S5) are provided in Table S7.

In contrast, the fully-tagged mechanism tracks the evolution of East Asian NO<sub>x</sub> based on a reservoir of all reactive species. The fully-tagged procedure tags East Asian anthropogenic emissions of CO and VOCs in addition to NO<sub>x</sub> and follows them through the formation of NO<sub>y</sub>, RO<sub>x</sub>, HO<sub>x</sub> etc. When East Asian outflow meets the U.S. air mass, longer-lived species (e.g., CO and certain VOCs species such as butane, propane, methanol, acetylene, etc.<sup>52,53</sup>) originating in EA react with NO from other sources, forming tagged East Asian shorter-lived species  $(NO_2 \text{ and } RO)$  present at half the quantity as the resulting products. Furthermore, because NO<sub>v</sub>, HO<sub>x</sub>, and RO<sub>x</sub> species are tagged, the source influence will persist until the tagged species are physically removed by dry-wet deposition or chemically converted to stable species (e.g.,  $CO_2$ ,  $H_2O_1$ , etc.). This significantly reduces the rate of depletion of the tagged tracers and greatly enhances the abundance of East Asian NO2 and, thus, East Asian O<sub>3</sub> in the U.S. boundary layer, evidenced by the large production rates of East Asian NO2 from the tagged reaction of NO and RO<sub>2</sub> ( $4.5 \times 10^{-15}$  molecules/(s·cm<sup>3</sup>) and the tagged reaction of NO and HO<sub>2</sub> (6.2  $\times$  10<sup>-15</sup> molecules/ $(s \cdot cm^3)$  (Figure 4 and Table S7). Previous studies indicated little baseline ozone (i.e., ozone influenced by sources other than local anthropogenic ones) impacts the surface of the eastern U.S.54 The fully-tagged method highlights that the eastern United States experiences intensive EA source impact as large as 3–4 ppbv (Figure 3), with the secondary production of O<sub>3</sub> attributable to EA (Figures 4 and S6) as a dominant driver. There have been established studies of how high-elevation sites over the western United States are susceptible to intercontinental transport<sup>55</sup> and stratospheric intrusion,<sup>56</sup> while additional studies examining the extent and mechanism of the EA impacts over the eastern United States through chemistry, entrainment, etc., are needed. We have also conducted fullytagged simulations that tag a portion of EA AE, thus attributing NA O3 to EA AE NOx and EA AE VOCs plus CO, respectively. The larger attribution is via long-lived EA CO plus EA VOCs (around 2.5 ppbv), and the impact from EA\_NO<sub>x</sub> is relatively smaller (around 0.5 ppbv) (Figure S7).

Unlike the tagging approaches, the sensitivity method compares the difference in O<sub>3</sub> simulated in two states of the atmosphere. Within the NA boundary layer, removing East Asian emissions increases the rate of transformation from NO to NO<sub>2</sub> through RO<sub>2</sub> by 2.8  $\times$  10<sup>-16</sup>/(s·cm<sup>3</sup>) and decreases transformation from NO to NO<sub>2</sub> through HO<sub>2</sub> by  $5 \times 10^{-16}$ /  $(s \cdot cm^3)$  (Figure 4 and Table S7). The difference of O<sub>3</sub> between a perturbed and a standard simulation can be approximately attributed to three sources:  $(1) O_3$  produced by the enhanced EA precursors, (2)  $O_3$  jointly produced by the enhanced EA and ELSE precursors, and (3) the changes in O<sub>3</sub> production by ELSE precursors due to the presence of EA AE. The first two sources are partially offset by the third one because the presence of EA AE not only decreases the O<sub>3</sub> production efficiency of ELSE NO<sub>x</sub> and VOCs but also depresses the participation of ELSE CO and VOCs involved in the photochemical chain reactions.

All methods show that spring is the season with the strongest East Asian influence on NA surface  $O_3$  levels (Figures 2 and S8). This springtime maximum is a result of several processes. First, rapid transport, assisted by frequent passage of cold front systems, increases the likelihood of short-lived species crossing the Pacific. Second, transport at midtroposphere and near surface enables a larger influence on surface  $O_3$  concentrations (Figure S9). Third, although photochemistry is generally less active in spring than summer (for example, conversion rates of EA NO to NO<sub>2</sub> through HO<sub>2</sub> and RO<sub>2</sub> are smaller); this may well be compensated by the increased photolysis of East Asian NO<sub>2</sub> to O and decreased chemical losses of East Asian O<sub>3</sub> compared with summertime photochemistry (Table S7).

Based on the fully-tagged approach, we estimate that the acute effects of exposure to East Asian  $O_3$  include 1670 cardiovascular and 300 respiratory premature mortalities in NA in the year 2000 using relative risk values from Bell et al.<sup>3</sup> with no threshold for  $O_3$  concentration (Table 1). These deaths are

Table 1. Annual Premature Mortalities (Unit: Deaths) in North America in 2000 Associated with  $O_3$  Originating from EA Emissions Using Sensitivity Analysis,  $NO_y$ -Tagging, and Fully Tagged Methods<sup>*a*</sup>

	cardiovascular mortalities	respiratory mortalities
sensitivity analysis	670 (570)	120 (100)
NO <sub>y</sub> -tagging method	210 (200)	39 (36)
fully-tagged method	1670 (1542)	300 (275)
<sup><i>a</i></sup> A threshold of 0 ppby	v (and 25 ppbv) $O_3$ is use	d.

the most concentrated in the West Coast and eastern U.S., the Caribbean, and Mexico (Figure S10). Assuming a threshold of 25 ppbv slightly decreases the estimates to 1542 cardiovascular and 275 respiratory mortalities in NA. In comparison,  $NO_{y^-}$  tagging and sensitivity approaches, respectively, result in a factor of eight and two fewer premature mortalities. Previous sensitivity studies showed that a 20% reduction of East Asian  $O_3$  precursors emissions leads to 200 avoided annual cardiopulmonary mortalities, and a 10% reduction of East Asian  $O_3$  precursor emissions leads to 38 avoided annual mortalities in North America.<sup>48,49</sup> Scaling up these estimates to 100% emission reduction is comparable to the sensitivity results estimated in this study, although significant nonlinearity of  $O_3$  response to emission perturbation exists.<sup>57</sup> Our results include

only the acute effects of  $O_3$  exposure. We recognize that chronic  $O_3$  exposure may result in a much larger health burden.  $^{58}$ 

The challenge of meeting increasingly stringent O<sub>3</sub> air quality standards requires accurate attribution of ground-level O<sub>3</sub> to various sources, especially from upwind anthropogenic sources. Previous attempts track the cycling of the nitrogen element within the NO<sub> $\nu$ </sub> family (NO<sub> $\nu$ </sub>-tagging approach) or perturb the source emissions and change the O<sub>3</sub> production efficiency. We have developed, for the first time, a method that fully tags all O<sub>3</sub> precursors and incorporates the impact of CO and VOCs on long-distance transport. The impact of all reactions and reservoir species affecting  $O_3$  concentrations are tracked (e.g., peroxy radicals in addition to reactive nitrogen). This approach accounts for the full contribution of all East Asian anthropogenic pollutants to the formation of O<sub>3</sub> over North America with the state of the atmosphere unchanged. It reveals the importance of long-lived precursors (CO and some hydrocarbons) in driving  $HO_x - NO_x - RO_x$  cycling over North America in summer.

Controlling NO<sub>x</sub> and reactive VOCs have been the traditional strategies for local O<sub>3</sub> air quality management,<sup>59,60</sup> yet little attention has been paid to the role of relatively longlived precursors, such as carbon monoxide and longer-lived VOCs in transboundary transport. In addition to NO<sub>21</sub> large quantities of CO and VOCs are emitted from the industry, residential, and transport sectors in East Asia and were experiencing a rapid increase during 2000-2010,<sup>16,61</sup> as opposed to a seemingly decrease in NA.7,16 Furthermore, from a climate perspective the year 2000 analyzed in this study displayed relatively weak trans-Pacific transport; a La Nina like El Nino Southern Oscillation (ENSO) pattern weakens the storm track and a negative Pacific Decadal Oscillation (PDO) phase weakens the westerlies.<sup>62</sup> These indicate an even more important role of East Asian emissions in NA O<sub>3</sub> formation for other years. The emissions of CO and VOCs are usually associated with incomplete combustion and low energy-use efficiency,<sup>17,63</sup> and these processes also co-emit other pollutants (especially black carbon,<sup>64,65</sup> organic matters, sulfur dioxide, and  $CO_2$ ). Domestic and international policies that limit emissions and, hence, long-range transport of CO and longlived VOCs would mitigate the source-receptor attribution of transboundary O3 while simultaneously reducing black carbon and CO<sub>2</sub>, thus achieving multiple co-benefits for global health and climate.

## ASSOCIATED CONTENT

#### **S** Supporting Information

This material is free at The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.est.6b05664.

Figures showing the validation of fully-tagged method, the definition of domain size for East Asia and North America, the comparison of different ozone tagging approaches, detailed simulation results, and health damages. Tables showing the comparison of this study to previous works, additional details of the fully-tagged mechanism, the setting of vertical levels, and the ozone budget among different approaches. (PDF)

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#### Notes

The authors declare no competing financial interest.

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